

# A Joint Segmentation/Registration model and Deformation-informed PCA

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*CCIMI Industry Engagement Day, November, 14<sup>th</sup> of 2018.*



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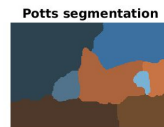
- Image **segmentation** : aims to **partition** a given image into **relevant constituents** or to **delineate** the **contours** inside the image for further **analysis** and **understanding**.



Initial contour  
segmentation



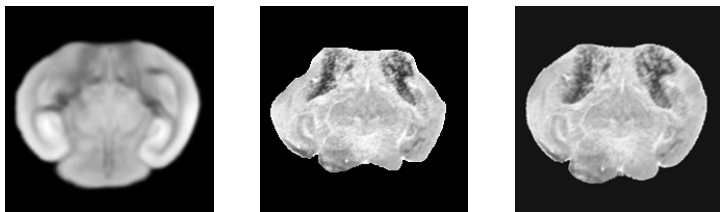
Obtained contour  
segmentation



Region segmentation.

- **Challenges** : definition of **meaningful constituents** is **ambiguous** and is subject to the applications and to the **subjective human interpretation**.
- **Applications** : object detection, scene parsing, organ reconstruction, tumor detection, etc.

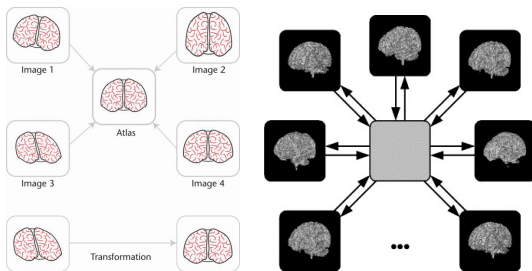
- Image **registration**: Given two images called **Template** ( $T$ ) and **Reference** ( $R$ ), registration consists in determining an **optimal diffeomorphic transformation**  $\varphi$  such that the **deformed Template** ( $T \circ \varphi$ ) image is **aligned** with the **Reference** ( $R$ ).



From left to right : Reference  $R$ ; Template  $T$  (mouse atlas and gene expression data); **deformed Template**  $T \circ \varphi$ .

- **Challenges** : under-constrained problem  $\Rightarrow$  ill-posedness, non-linearity, non-convexity, high dependency to the considered application.
- **Applications** : shape tracking, multi-modality fusion, computer-aided diagnosis and disease follow-up, atlas generation, etc.

- **Atlas generation:** construct a **statistical representative** image and an associated set of coordinated **transformations** from an **ensemble of images**.



Atlas generation schemes involving deforming and registering all images to the unknown atlas (Raj *et al.* [7], Joshi *et al.* [3]).

- **Challenges** : same as the registration ones with one more difficulty since the **Reference** to which the images should be mapped is **unknown**.
- **Applications** : characterization of the expected structure and variability of a population through a statistical analysis (PCA for instance), compare different populations (healthy/unhealthy for example), shape a-priori, etc.



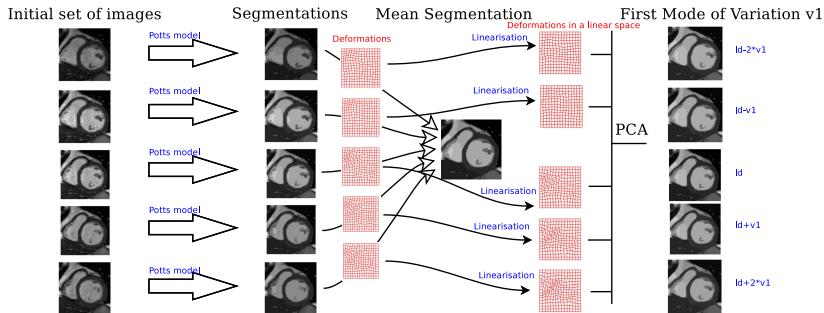


Figure: Overview of our framework

- **Main ideas for joint segmentation/registration models** :
  - As **structure matching** and **intensity distribution comparison** rule registration, **combining** both tasks into a single framework sounds relevant.
  - **Registration** is seen as prior information to **guide segmentation** and to overcome the difficulty of weak boundary definition.
  - Accurate **segmented structures drive the registration** process correctly based on geometrical and topological features.
- **Difficulty** : lies in the **construction** of such a **relevant functional** since the problem is **underconstrained** and involves **nonlinearity** and **nonconvexity**.

- **Proposed Methodology:**

⇒ introduction of an original **geometric dissimilarity** measure based on **segmentation principles** and **shape comparisons** allowing for **joint segmentation and registration**:

↪ **Potts model**(Potts [6], Storath *et al.* [10]) in order to **segment** each image of the dataset.

↪ **Non local shape descriptors** inspired by the **Potts** model for **segmentation** to match **regions**.

- **Proposed Methodology:** (*continuation*)

⇒ introduction of a **deformation model** in a **nonlinear elasticity framework**.

⇨ Shapes to be matched are viewed as **isotropic, homogeneous, hyperelastic** materials and more precisely as **Ogden materials** (see Ciarlet's book [1]).

⇨ Addition of **two original constraints** to ensure the deformations are **bi-Lipschitz homeomorphisms**.

⇨ **Hyperelasticity** is a **suitable framework** when dealing with **large** and **nonlinear deformations**.

⇨ *Rubber, filled elastomers, biological tissues* are often modelled within the hyperelastic framework.

- **Proposed Methodology:** (*continuation*)

**Observation** (Rumpf *et al.* [8]) : the arithmetic mean  $\bar{x}$  of observations  $(x_i)_{i=1}^M$  can be interpreted as the minimizer of the total elastic deformation energy in a system where the average  $\bar{x}$  is connected to each  $x_i$  by an elastic spring under the Hooke's law.

⇒ introduction of a mean segmentation given by the particular deformed configuration that minimizes the total nonlinear energy required to deform each segmentation so that it is aligned to this mean configuration.

Prior related works suggest **jointly treating segmentation and registration**. Among others:

- **Droske *et al.* ([2])**: *combine the general Mumford and Shah functional and registration via nonlinear elasticity principles;*
- **Ozeré, Gout and Le Guyader ([5])**: *combine a weighted total variation to align the edges, and the modified stored energy function of a Saint Venant-Kirchhoff material.*

Prior works on **joint segmentation/registration/shape averaging** :

- **Rumpf and Wirth ([8])**: *combine the Ambrosio-Tortorelli phase field approximation of the Mumford and Shah functional, generation of a mean shape, and the stored energy function of an Ogden material.*

- $\Omega \subset \mathbb{R}^2$ : open bounded and connected subset of  $\mathbb{R}^2$  with boundary  $\partial\Omega$  of class  $\mathcal{C}^1$ .
- $T_i : \bar{\Omega} \rightarrow \mathbb{R}$  the  $i$ -th **Template** image, for  $i = 1, \dots, M$  where  $M$  is the total number of images.
- For **theoretical purposes**:
  - $T_i$  are assumed to be **compactly supported** on  $\Omega$ .
  - $T_i$  are assumed to be **Lipschitz continuous**.
- $\varphi_i : \bar{\Omega} \rightarrow \mathbb{R}^2$ : **deformation** (or **transformation**) from the Template  $T_i$  to the unknown mean.
- $\theta_{T_i} : \bar{\Omega} \rightarrow \mathbb{R}$  corresponds to the **segmentation of the Template  $T_i$** , and  $\theta_R : \bar{\Omega} \rightarrow \mathbb{R}$  represents the **mean segmented atlas** (unknown of our problem).
- $u_i$ : associated **displacement** s.t.  $\varphi_i = \text{Id} + u_i$ .

- **Construction of the nonlinear-elasticity-based regularizer:**
  - $\Rightarrow$  proposed regularizer on each  $\varphi_i$  based on the **coupling** of the stored energy function  $W_O$  of an Ogden material and on a **term controlling** that the **Jacobian** and the **inverse Jacobian** remain **small**.
    - $\Rightarrow$  the deformation map does not exhibit **contractions** or **expansions** that are too large and is a bi-Lipschitz homeomorphism.
  - To sum up, the **regularization** can be written as

$$E_{reg}(\varphi_i) = \int_{\Omega} W(\nabla\varphi_i) dx,$$

with

$$W(F) = W_O(F) + \mathbf{1}_{\{\|\cdot\|_{L^\infty(\Omega, M_2(\mathbb{R}))} \leq \alpha\}}(F) + \mathbf{1}_{\{\|\cdot\|_{L^\infty(\Omega, M_2(\mathbb{R}))} \leq \beta\}}(F^{-1}).$$



- **Construction of the Template segmentation:**

### Potts model

D  $u^* = \operatorname{argmin}_{u \in \mathbb{R}^S} E_{\text{seg}}(u) = \|\nabla u\|_0 + \|u - f\|_2^2$ , with  $f$  the observed image.

C  $u^* = \operatorname{argmin}_{u \in \left\{ \begin{array}{l} u = \sum_{l=1}^N c^l \theta_l, \\ \theta_l \in BV(\Omega, \{0,1\}), \\ \sum_{l=1}^N \theta_l = 1, \text{ a.e.} \end{array} \right\}} E_{\text{seg}}(u) = \sum_{l=1}^N TV(\theta_l) + \int_{\Omega} \sum_{l=1}^N \theta_l (c^l - f)^2 dx.$

### Interpretation

Approximation in the  $L^2$  sense of the image  $f$  by  $N$  regions whose characteristic functions are respectively  $\theta_l$  with a constant intensity  $c^l$  for each  $l = 1, \dots, N$  minimizing the length of the overall edges.

- **Construction of the dissimilarity measure:**

### Distance measure criterion

$$D \quad E_{dist}(\theta_R, (\theta_{T_i}, \varphi_i)_{i=1, \dots, M}) = \frac{1}{M} \sum_{i=1}^M \|\nabla(\theta_R - \theta_{T_i} \circ \varphi_i)\|_0,$$

$$C \quad E_{dist}(\theta_R, (\theta_{T_i}, \varphi_i)_{i=1, \dots, M}) = \frac{1}{M} \sum_{i=1}^M \sum_{l=1}^N TV(\theta_{R,l} - \theta_{T_i,l} \circ \varphi_i),$$

$$\text{with } \theta_R = \sum_{l=1}^N c_R^l \theta_{R,l}, \quad \theta_{T_i} = \sum_{l=1}^N c_{T_i}^l \theta_{T_i,l}.$$

### Interpretation

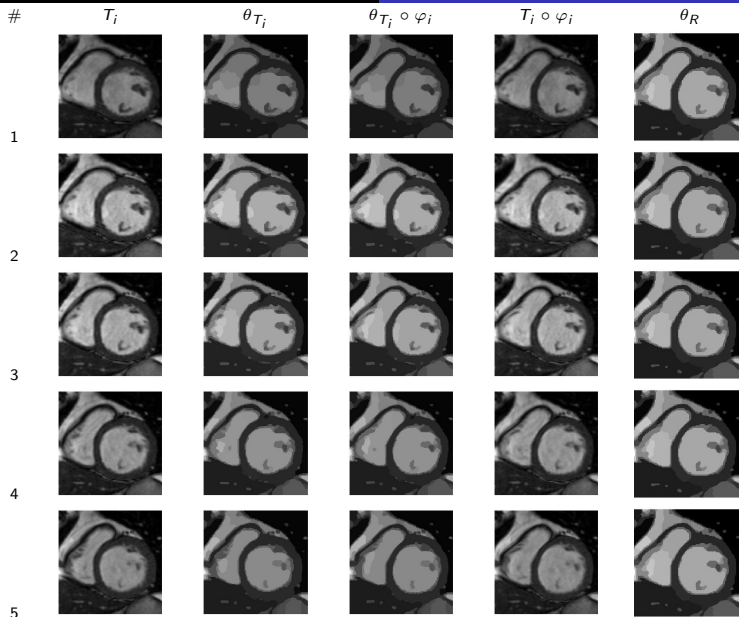
It aims at **minimizing the length of the contours** defined by the **difference** between the **deformed segmentation**  $\theta_{T_i} \circ \varphi_i$  of the Template  $T_i$  and the **mean segmentation**  $\theta_R$ .  $\implies$  aligns the edges of each homogeneous region.

## Functional minimization problem

$$\begin{aligned} \inf \left\{ I(\theta_R, (\theta_{T_i}, \varphi_i)_{i=1, \dots, M}) = E_{\text{dist}}(\theta_R, (\theta_{T_i}, \varphi_i)_{i=1, \dots, M}) \right. \\ \left. + \frac{1}{M} \sum_{i=1}^M (E_{\text{reg}}(\varphi_i) + E_{\text{seg}}(\theta_{T_i})) \right. \\ \left. + E_{\text{seg}}(\theta_R) \right\}. \quad (\text{P}) \end{aligned}$$

**Numerical difficulties:** nonlinearity in  $\nabla \varphi_i$ , the presence of  $(\nabla \varphi_i)^{-1}$  and the composition  $\theta_{T_i} \circ \varphi_i$  in the distance measure criterion.

- Inspired by the work of Negrón Marrero [4], we introduce **auxiliary variables**  $V_i$  simulating  $\nabla\varphi_i$ ,  $W_i$  simulating  $(\nabla\varphi_i)^{-1}$ , and  $\tilde{\theta}_{T_i}$  simulating  $\theta_R - \theta_{T_i} \circ \varphi_i$  for each  $i = 1, \dots, M$ , and use an  **$L^p$ -penalization** method to ensure their closeness to the initial variable.
- We use an **alternating optimization scheme** in which we solve the subproblem with respect to each unknown alternatively.



## Principal Component Analysis

**Principal Component Analysis (PCA)** : statistical procedure that uses an orthogonal transformation to convert a set of observations of possibly correlated variables into a set of values of linearly uncorrelated variables called principal components. This transformation is defined in such a way that the first principal component has the largest possible variance, and each succeeding component in turn has the highest variance possible under the constraint that it is orthogonal to the preceding components. The resulting vectors (each being a linear combination of the variables) are an uncorrelated orthogonal basis set.

**Requirement:** the variables need to live in a linear space.

- **Objective** : statistical analysis of the dataset through a **Principal Component Analysis on the deformations** to retrieve the **main modes of variations** inside the population.
- **Difficulty** : our deformation maps do **not** live in a **linear space**.
- **Solution** : get a **good representation of our deformations** in a **linear space** equipped with a scalar product.

## Cauchy stress tensor

$$\sigma = \frac{\partial W}{\partial F}(\varphi) \text{Cof} \nabla \varphi$$

## Interpretation

In an equilibrium position, we have :

$$\begin{aligned} \forall y, \vec{n}, t(y, \vec{n}) &= \sigma(y) \vec{n}, \\ f(y) &= -\text{div} \sigma, \end{aligned}$$

$t(y, \vec{n})$  is the pressure applied to the material at the boundary point  $y$  in the normal direction  $\vec{n}$ ,  $f(y)$  is the inner volumetric force applied at the point  $y$  inside the material.

The tensor thus relates the forces applied to the material and the corresponding deformation.



- **Observation** (Rumpf *et al.* [9]): The classical **covariance** tensor can be identified with the covariance tensor of the **displacements obtained by adding a small fraction of the  $i$ -th spring force** under the Hooke's law.

$$\implies \min_{v_i} \int_{\Omega} W(x, \text{Id} + \delta v_i) + \delta^2 \int_{\Omega} \text{div} \sigma_i : v_i \, dx.$$

$\sigma_i$  is the Cauchy stress tensor corresponding to the deformation  $\varphi_i$ .

- We apply a **Taylor development to  $W$**  and get back to the **linearized elasticity equation**, with  $\epsilon(v_i) = \frac{\nabla v_i + \nabla v_i^T}{2}$  :

$$\min_{v_i \in H^1(\Omega, \mathbb{R}^2)} \int_{\Omega} \mu \text{Tr}(\epsilon(v_i)^2) + \frac{\lambda}{2} \text{Tr}(\epsilon(v_i))^2 + \delta^2 \int_{\Omega} \text{div} \sigma_i : v_i \, dx,$$

whose solution is in the **linear  $H^1(\Omega, \mathbb{R}^2)$  space**.

- **PCA** performed on the obtained **displacements  $v_i$** .

- **Drawback** : loss of the initial **nonlinear nature** of the deformation.  $\implies$  PCA performed on the **Cauchy stress tensors** directly after noticing they belong to the **linear space**  $L^2(\Omega, M_2(\mathbb{R}))$  and get  $(\sigma_{pca,i})$ .
- Resolution of the following problem to get **back to the deformations** by assuming the **correspondence between the forces and the displacements is one-to-one** which is true at least locally :

$$\min_{v_i} \sum_{k=1}^M \int_{\Omega} W(\nabla((\text{Id} + \delta v_i) \circ \varphi_k)) dx + \delta^2 \int_{\Omega} \text{div} \sigma_{pca,i} : v_i dx$$

$$+ \mathbf{1}_{\{\|\cdot\|_{L^\infty(\Omega, M_2(\mathbb{R}))} \leq \alpha\}}(\nabla v_i)$$

- **Change of vision** and consider this problem as an **interpolation/approximation** one. Find the **best approximation** of our deformation fields belonging to  $H^3(\Omega, \mathbb{R}^2)$  such that the linear deformation tensor  $\frac{\nabla v + \nabla v^T}{2}$  is equal to the complete **initial deformation tensor**  $\frac{\nabla u_i + \nabla u_i^T + \nabla u_i^T \nabla u_i}{2}$ , with  $u_i$  the displacement associated to the deformation  $\varphi_i$  obtained previously.
- **Drawback** : this **interpolation problem** is too constrained and might create some **memory storage issues**.
- We propose to **relax** it as an **approximation** one by solving :

$$\min_{v \in H^3(\Omega, \mathbb{R}^2)} |v|_{H^3(\Omega, \mathbb{R}^2)}^2 + \|u_i - v\|_2^2 + \frac{\gamma}{2} \|(\nabla v + \nabla v^T) - (\nabla u_i + \nabla u_i^T + \nabla u_i^T \nabla u_i)\|_2^2$$

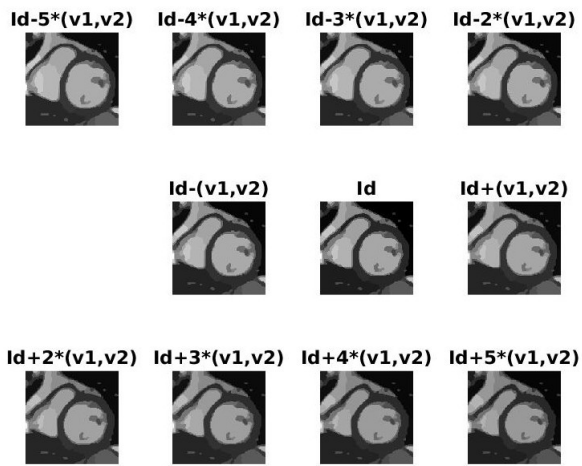


Figure: First mode of variation obtained with the [first method](#).

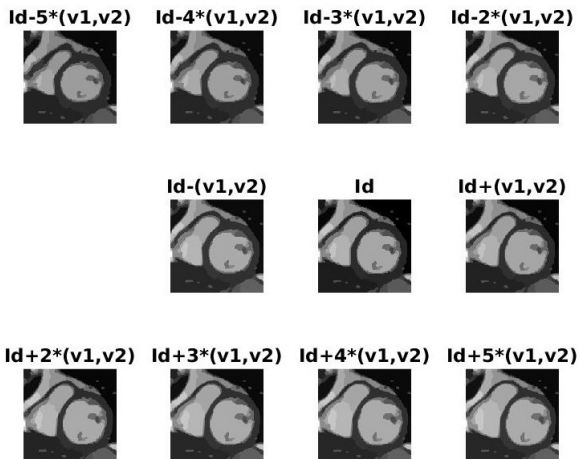


Figure: First mode of variation obtained with the [second approach](#).

**$Id-2(v_1, v_2)$   $Id-1.75(v_1, v_2)$   $Id-1.5(v_1, v_2)$   $Id-1.25(v_1, v_2)$**



**$Id-(v_1, v_2)$**



**$Id$**



**$Id+(v_1, v_2)$**



**$Id+1.25(v_1, v_2)$   $Id+1.5(v_1, v_2)$   $Id+1.75(v_1, v_2)$   $Id+2(v_1, v_2)$**



Figure: First mode of variation obtained with the [third model](#).

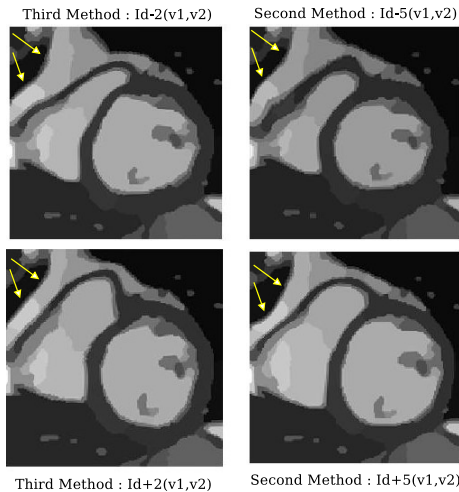


Figure: Comparison of methods 2 and 3.

## Summary of the developed model:

- Joint Registration/Segmentation/Atlas generation model based on the Potts model for segmentation and the nonlinear elasticity principles.
- Three different methods to approximate the obtained deformations in a linear space to perform PCA and retrieve the main modes of variations inside the studied population.
- Preliminary numerical simulations on cardiac MRIs.



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Thank you for your attention.